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| FORM PTO-1390 (REV. 10-2003) | | U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE | | ATTORNEY'S DOCKET NUMBER 19A 3812 PCT | |
| TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371 | | | | U.S. APPLICATION NUMBER (if known, see 37 C.F.R. 1.51) 10/564646 | |
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| INTERNATIONAL APPLICATION NO. PCT/JP2003/09002 | | INTERNATIONAL FILING DATE 15 July 2003 | | PRIORITY DATE CLAIMED -- | |
| TITLE OF INVENTION | | INDIVIDUAL AUTHENTICATION METHOD USING A RECORDING MEDIUM AND INDIVIDUAL AUTHENTICATION SYSTEM USING A RECORDING MEDIUM | | | |
| APPLICANT(S) FOR DO/EO/US | | HITOSHI KOKUMAI and MAKOTO MIHARA | | | |
| Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: | | | | | |
| <ol style="list-style-type: none"> 1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. 2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. 3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (20) indicated below. 4. <input type="checkbox"/> The US has been elected (Article 31). 5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)) <ol style="list-style-type: none"> a. <input checked="" type="checkbox"/> is attached hereto (required only if not transmitted by the International Bureau). b. <input type="checkbox"/> has been communicated by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US). 6. <input checked="" type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). <ol style="list-style-type: none"> a. <input checked="" type="checkbox"/> is attached hereto b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4). 7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) <ol style="list-style-type: none"> a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> have been communicated by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input checked="" type="checkbox"/> have not been made and will not be made. 8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. <input type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). | | | | | |
| Items 11 to 19 below concern document(s) or information included: | | | | | |
| <ol style="list-style-type: none"> 11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98. 12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 C.F.R. 3.28 and 3.31 is included. 13. <input checked="" type="checkbox"/> A preliminary amendment. 14. <input checked="" type="checkbox"/> An Application Data Sheet under 37 CFR 1.76. 15. <input type="checkbox"/> A substitute specification. 16. <input type="checkbox"/> A power of attorney and/or change of address letter. 17. <input type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154(d)(4). 18. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). 19. <input checked="" type="checkbox"/> Other items or information: <ol style="list-style-type: none"> a. Copy of Form PCT/RO/101 b. Copy of Form PCT/IPEA/409 (International Preliminary Examination Report) c. Five (5) sheets of drawings | | | | | |

13 JAN 2006

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| U.S. APPLICATION NO. (if known, see 37 C.F.R. 1.5) <div style="font-size: 2em; font-weight: bold; margin-top: 5px;">10/564646</div> | INTERNATIONAL APPLICATION NUMBER PCT/JP2003/09002 | ATTORNEY'S DOCKET NUMBER 19A 3812 PCT |
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20. ☒ The following fees are submitted:

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|--|-------|
| Basic national fee (37 CFR 1.492(a))..... | \$300 |
| Examination fee (37 CFR 1.492 (c)) If the written opinion prepared by ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)- (4)..... | \$ 0 |
| All other situations..... | \$200 |
| Search Fee (37 CFR 1.492(b)) If the written opinion of the ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)..... | \$ 0 |
| Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority..... | \$100 |
| International Search Report prepared by an ISA other than the US and provided to the Office or previously communicated to the US by the IB..... | \$400 |
| All other situations..... | \$500 |

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| ENTER APPROPRIATE BASIC FEE AMOUNT = | \$ 900 |
| Surcharge of \$130 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 C.F.R. 1.492(e)). | \$ |

| CLAIMS | NUMBER FILED | NUMBER ALLOWED | NUMBER EXTRA | RATE | |
|---|-----------------|-------------------|-----------------|--------|----------------|
| Total claims | 20[45] | 20 | 25 | \$ 50 | \$1,250 |
| Independent claims | 5 | 3 | 2 | \$ 200 | \$ 400 |
| MULTIPLE DEPENDENT CLAIM(S) (if applicable) | | | + | \$360 | \$ 360 |
| TOTAL OF ABOVE CALCULATIONS = | | | | | \$2,910 |

☒ Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.

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| SUBTOTAL = | \$1,455 | |
| Processing fee of \$130 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 30 months from the earliest claimed priority date (37 C.F.R. 1.492(f)). | \$ | |
| TOTAL NATIONAL FEE = | | \$1,455 |
| Fee for recording the enclosed assignment (37 C.F.R. 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 C.F.R. 3.28, 3.31). \$40 per property | \$ 0 | |
| TOTAL FEES ENCLOSED = | | \$1,455 |

| | |
|----|-------------------------|
| | Amt. Refunded \$ |
| \$ | Amt. charged |

a. ☒ Check in the amount of \$1,455 to cover the above fees are enclosed.
 b. ☐ Please charge my Deposit Account No. 11-1445 in the amount of _____ to cover the above fees. A duplicate copy of this sheet is enclosed.
 c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 11-1445. A duplicate copy of this sheet is enclosed.

NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

KODA & ANDROLIA

2029 Century Park East, Suite 1140

Los Angeles, CA 90067-2983

Dated: January 13, 2006

Form PTO-1390 (REV 10-2003)

 SIGNATURE
 H. Henry Koda
 REG.NO. 27,729

10/564646

IAP20 Rec'd PET/PTO 13 JAN 2006

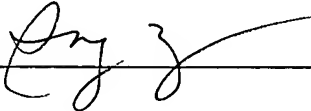
I hereby certify that this correspondence is being deposited with the United States Postal

Service as Express Mail No. EV714371646US addressed to:

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PO Box 1450
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on January 13, 2006, by Inja Yi.

Dated: 1/13/06

By: 

19A 3812 PCT

OKUMURA

Applicant(s):

HITOSHI KOKUMAI and MAKOTO MIHARA

For:

INDIVIDUAL AUTHENTICATION METHOD USING A RECORDING
MEDIUM AND INDIVIDUAL AUTHENTICATION SYSTEM USING
A RECORDING MEDIUM